

PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 660.00

**Complete if Known**

Application Number	09/986,480-Conf. #5616
Filing Date	November 8, 2001
First Named Inventor	Craig A. Rosen
Examiner Name	M. Sheinberg
Group Art Unit	1634
Attorney Docket No.	PS500P1

RECEIVED

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account

Deposit Account Number

08-3425

Deposit Account Name

Human Genome Sciences, Inc.

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
42	-24** = 18	18.00	324.00
Independent Claims	8	-4** = 4	84.00
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 660.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**Large Entity Small Entity  
Fee Code Fee (\$)

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

**SUBMITTED BY**

Name (Print/Type) Janet M. Martineau

Registration No. (Attorney/Agent)

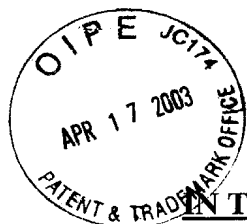
46,903

**Complete (if applicable)**

Telephone (301) 315-2723

Signature

Date April 17, 2003



HAND DELIVERED April 17, 2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Rosen et al.

Application Serial No.: 09/986,480

Filed: November 08, 2001

For: **Protein HCUDW10**  
(as amended)

Art Unit: 1634

Examiner: Sheinberg, M.

Attorney Docket No.: PS500P1

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APR 21 2003

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**PRELIMINARY AMENDMENT AND PROVISIONAL ELECTION**  
**WITH TRAVERSE UNDER 37 C.F.R. § 1.111**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action dated March 17, 2003 (Paper No. 6), please consider the following amendments, traversal, provisional election, and remarks. Applicants submit concurrently herewith: (a) a Fee Transmittal Sheet (in duplicate) and (b) an Information Disclosure Statement Pursuant to 37 C.F.R. § 1.56 with a Form PTO/SB/08A and copy of references **AA-AD**.

Prior to examining the captioned application, Applicants respectfully request the entry of the following amendments.

04/18/2003 HMARZI1 00000073 083425 09986480

01 FC:1201 336.00 CH  
02 FC:1202 324.00 CH

**In the Specification:**

On page 1, please amend the title as follows:

**~~143 Human Secreted Proteins~~ Protein HCUDW10**